



# < Haldane conjecture and related results >

$d=1$  (almost throughout the present part)

## § Haldane conjecture

Heisenberg AF chain  $\hat{H} = \sum_{x=1}^L \hat{S}_x \cdot \hat{S}_{x+1}$  ( $\hat{S}_{L+1} = \hat{S}_1$ )  
 ( $S = \frac{1}{2}, 1, \frac{3}{2}, \dots$ ) (Even)

(Marshall-Lieb-Mattis theorem  
 $\rightarrow$  the g.s. is unique for finite  $L$ .)

$S = \frac{1}{2}$  Common beliefs based on the Bethe ansatz solution <sup>1931</sup>

- i) the g.s. is unique (also for  $L \rightarrow \infty$ )  $\rightarrow$  NO LRO or SSB
- ii) no energy gap above the g.s. energy  $E_{1st} - E_{gs} = O(\frac{1}{L})$
- iii) the g.s. correlation funct. decays

by a power law as

$$\langle \Phi_{gs}, \hat{S}_x \cdot \hat{S}_y \Phi_{gs} \rangle \approx (-1)^{x-y} |x-y|^{-1}$$

Haldan 1983

- non-linear  $\sigma$ -model with a topological term
  - semi-classical quantization of solitons
- } long S limit

$S = \frac{1}{2}, \frac{3}{2}, \dots$  half-odd-integer spins

- i)
  - ii)
  - iii)
- } as in  $S = \frac{1}{2}$

massless or critical

$S = 1, 2, 3, \dots$  integer spins

i) the g.s. is unique (also for  $L \rightarrow \infty$ )  $\rightarrow$  NO LRO or SSB

ii)  $\exists$  a nonvanishing energy gap above the g.s. energy

Haldane gap  $\Delta E \approx 2S e^{-\pi S}$   $\rightarrow$   $\equiv \downarrow 0$

iii) the g.s. correlation function decays exponentially

$$\langle \Phi_{gs}, \hat{S}_x \cdot \hat{S}_y \Phi_{gs} \rangle \sim (-1)^{x-y} \exp\left[-\frac{|x-y|}{3}\right]$$

massive or disordered

disordered (massive) behavior at  $T=0$   
strong "quantum fluctuation".

↗ at least in mid 80's

## Surprising points of the conjecture

- a drastic difference between the systems with half-odd-integers  $S$  and integer  $S$ .
- it is natural that a one-dim. system with a continuous symmetry has low-energy excitations.

↘ see the next section

Rem

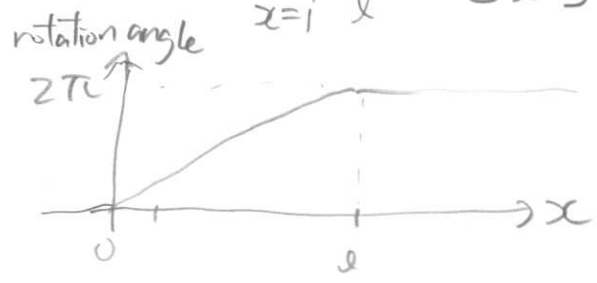
ii')  $\Rightarrow$  iii') was finally proved by Hastings and Koma 2006

( the beginning of modern applications of the Lieb-Robinson bound )

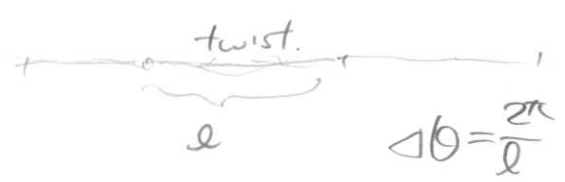
§ Theorem which rules out "unique g.s. + gap"

twist operator  $\hat{U}_l = \exp\left[i \sum_{x=1}^l \frac{2\pi x}{l} \hat{S}_x^{(z)}\right]$

$l < L$



$\bar{\Psi} = \hat{U}_l \Phi_{GS}$



$\langle \bar{\Psi}, H \bar{\Psi} \rangle - E_{GS} = l \cdot O((\Delta\theta)^2) = O\left(\frac{1}{l}\right)$

always gapless?!

one can prove  $\langle \bar{\Phi}_{GS}, \bar{\Psi} \rangle = 0$  only for  $S = \frac{1}{2}, \frac{3}{2}, \dots$

Theorem (Lieb-Schultz-Mattis 1961, Affleck-Lieb 1986)

For  $S = \frac{1}{2}, \frac{3}{2}, \dots$  "unique g.s. + gap" is impossible.

No information for  $S = 1, 2, \dots$

generalization

(Yamanaka-Oshikawa-Affleck 1997)

### § Semi-classical approach

$$\hat{H} = \underbrace{\sum_{x=1}^L \hat{S}_x^{(z)} \hat{S}_{x+1}^{(z)}}_{\hat{H}_c} + \frac{1}{2} \underbrace{\sum_{x=1}^L \{ \hat{S}_x^+ \hat{S}_{x+1}^- + \hat{S}_x^- \hat{S}_{x+1}^+ \}}_{\hat{H}_q}$$

classical (Ising) "quantum"

treat as "perturbation"

$S = \frac{1}{2}$

G.S. of  $\hat{H}_c$



$\hat{S}^+ \hat{S}^-$



$\hat{S}^+ \hat{S}^-$



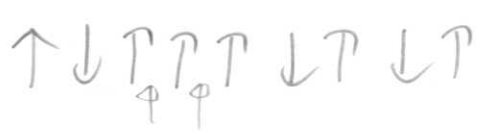
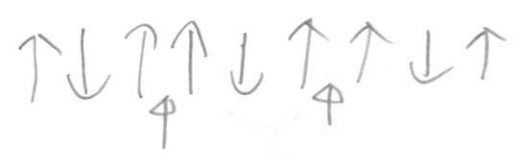
• pair creation of kinks

• kinks hop by twice the lattice spacing

also pair annihilation.

Note that there are two kinds of kinks  
 ↪ even, odd

different kinds of kinks never pair-annihilate



noway!!

S=1 <sup>g.s. of He</sup>  
 + - + - + - + -

$\hat{S}^z \hat{S}^z$   
 + - 0 0 + - + -

pair creation of kinks (0's)

$\hat{S}^+ \hat{S}^-$   
 + - 0 + 0 - + -

kinks hop by a single lattice spacing

$\hat{S}^- \hat{S}^+$   
 + - 0 + - 0 + -

- only one kind of kinks, pairly created and annihilated.

⇕  
 [essential difference from the  $S=1/2$  case]

- this construction generates <sup>only</sup> special states like  
 + 0 - + - 0 0 + 0 - + 0 - 0 + ...

+ and - alternate with arbitrary numbers of 0's in between them.  $\rightarrow$  (hidden AF order)

$\tilde{\mathcal{H}}$ : restricted Hilbert space generated by these basis states

Theorem (Tasaki '86 unpublished)

The Heisenberg AF on  $\tilde{\mathcal{H}}$  has a unique g.s. with a gap and exponentially decaying correlation function.

# < AKLT model and the VBS picture >

## § AKLT model for S=1

S=1 (AF) chain with

$$\hat{H}_{AKLT} = \sum_{x=1}^L \left\{ \hat{S}_x \cdot \hat{S}_{x+1} + \frac{1}{3} (\hat{S}_x \cdot \hat{S}_{x+1})^2 \right\}$$

still AF, and SU(2) invariant

### Theorem (Affleck-Kennedy-Lieb-Tasaki 1987)

- The g.s. is unique (for finite and infinite L)
- $\exists$  a nonvanishing energy gap (uniform in L)
- $\langle \Phi_{GS}, \hat{S}_x \cdot \hat{S}_y \Phi_{GS} \rangle = (-1)^{|x-y|} 4 \cdot 3^{-|x-y|}$   
( $|x-y| \geq 2$ )

strong support to the Haldane conjecture

BUT NOT A PROOF!!

a stability theorem (difficult but important)  
very

### Theorem (Yarotsky 2008)

$\hat{V}$ : any short ranged translation invariant interaction

$$\hat{H} = \hat{H}_{AKLT} + \epsilon \hat{V} \quad \text{For suff. small } \epsilon,$$

the g.s. is unique,  $\exists$  a gap, exp. decay.



§ VBS (valence-bond-solid) state  
exact g.s. of the AKLT model

$$\hat{S}_x \cdot \hat{S}_{x+1} + \frac{1}{3} (\hat{S}_x \cdot \hat{S}_{x+1})^2 = 2 \hat{P}_2(\hat{S}_x + \hat{S}_{x+1}) - \frac{2}{3}$$

the o.v. of  $(\hat{S}_x + \hat{S}_{x+1})^2 \rightarrow S'(S'+1)$  with  $S' = 0, 1, 2$ .

$\hat{P}_2$ : the proj. onto the space with  $S' = 2$

$\hat{H}_{AKLT}$  is essentially the same as

$$\hat{H}'_{AKLT} = \sum_{x=1}^L \hat{P}_2(\hat{S}_x + \hat{S}_{x+1})$$

We shall construct  $\Phi_{VBS}$  s.t.  $\hat{P}_2(\hat{S}_x + \hat{S}_{x+1}) \Phi_{VBS} = 0$   
for  $\forall x$ .

Then it is a g.s. of  $\hat{H}'_{AKLT}$  (and  $\hat{H}_{AKLT}$ )

construction of the VBS state

• Two  $S = \frac{1}{2}$ 's.  $\begin{matrix} L \\ \uparrow \\ \bullet \end{matrix} \begin{matrix} R \\ \downarrow \\ \bullet \end{matrix} \quad \Psi_L^\sigma \otimes \Psi_R^{\sigma'} \quad \sigma, \sigma' = \uparrow, \downarrow$

symmetrization  $\hat{S} (\Psi_L^\sigma \otimes \Psi_R^{\sigma'}) = \frac{1}{2} \{ \Psi_L^\sigma \otimes \Psi_R^{\sigma'} + \Psi_L^{\sigma'} \otimes \Psi_R^\sigma \}$

total spin 1.

→ projection op. onto the subspace with  $S_{tot} = 1$ .

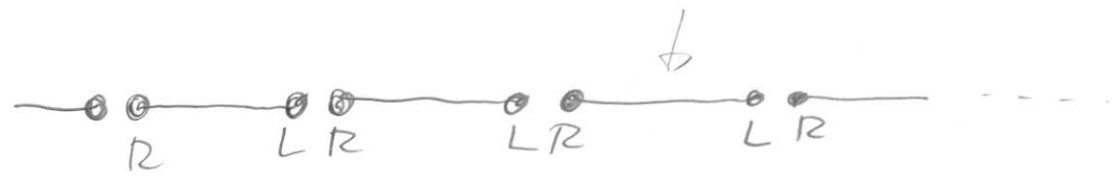
• duplicated chain. with sites  $(x, L), (x, R) \quad x = 1, \dots, L$



put  $S = \frac{1}{2}$ 's on each site

$$\Phi_{\text{pre-VBS}} = \bigotimes_{x=1}^L \frac{1}{\sqrt{2}} \{ \Psi_{x,R}^\uparrow \otimes \Psi_{x+1,L}^\downarrow - \Psi_{x,R}^\downarrow \otimes \Psi_{x+1,L}^\uparrow \}$$

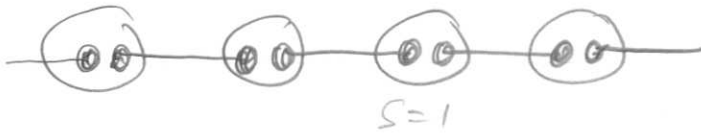
singlet pair = valence-bond



a state for  $2L$  spin  $\frac{1}{2}$ 's.

$$\bar{\Phi}_{VBS} := \left( \bigotimes_x \hat{\mathcal{S}}_x \right) \bar{\Phi}_{pre-VBS}$$

valence-bond solid state



a state for the  $S=1$  chain.

$$\text{site} = \frac{1}{2} \left\{ \text{---} \cdot \cdot \text{---} + \text{---} \circ \text{---} \right\}$$

BUT note that

$$\hat{P}_2(\hat{S}_x + \hat{S}_{x+1}) \bar{\Phi}_{VBS} = \hat{P}_2(\hat{S}_x + \hat{S}_{x+1}) \left( \bigotimes_x \hat{\mathcal{S}}_x \right) \bar{\Phi}_{pre-VBS}$$

$$= \left( \bigotimes_x \hat{\mathcal{S}}_x \right) \hat{P}_2(\hat{S}_{x,L} + \hat{S}_{x,R} + \hat{S}_{x+1,L} + \hat{S}_{x+1,R}) \bar{\Phi}_{pre-VBS}$$

||  
0



$\bar{\Phi}_{VBS}$  is an exact g.s. of  $\hat{H}_{AKLT}$

The theorem is proved based on the exact g.s. and the special properties of the model

gap: all simpler proof Knabe & F  
 ↓  
 (general theory Fannes, Nachtergaele, Werner 92)

§ Proof of the existence of a gap (Knabe, 1988) SKIP

When  $E_{gs} = 0$ ,  $\text{gap} \geq \varepsilon \iff \hat{H}^2 \geq \varepsilon \hat{H}$

show this

Write  $\hat{P}_x = \hat{P}_2(\hat{S}_x + \hat{S}_{x+1})$

note  $\hat{P}_x \hat{P}_y \geq 0$  unless  $|x-y| = 1$ .

$$\hat{H} = \sum_{x=1}^L \hat{P}_x \quad (\text{pbc})$$

fix  $n \geq 2$ , and let  $\hat{P}_{hx} = \sum_{y=x}^{x+n-1} \hat{P}_y$

then

$$\begin{aligned} \sum_{x=1}^L (\hat{P}_{hx})^2 &= n \sum_{x=1}^L \hat{P}_x + (n-1) \sum_{|x-y|=1} \hat{P}_x \hat{P}_y + (n-2) \sum_{|x-y|=2} \hat{P}_x \hat{P}_y \\ &\quad + \dots + \sum_{|x-y|=n-1} \hat{P}_x \hat{P}_y \end{aligned}$$

$$\leq n \sum_{x=1}^L \hat{P}_x + (n-1) \sum_{x \neq y} \hat{P}_x \hat{P}_y$$

$$= \sum_{x=1}^L \hat{P}_x + (n-1) \hat{H}^2$$

$$\therefore \hat{H}^2 \geq -\frac{1}{n-1} \hat{H} + \frac{1}{n-1} \sum_{x=1}^L (\hat{P}_{hx})^2$$

use  $(\hat{P}_{hx})^2 \geq \varepsilon_n \hat{P}_{hx}$  ( $\varepsilon_n > 0$ : the gap of  $\hat{P}_{hx}$ )

SKIP

$$\hat{H}^2 \geq -\frac{1}{n-1} \hat{H} + \frac{1}{n-1} \epsilon_n \left( \sum_{x=1}^L \hat{h}_x \right) = n \hat{H}$$

$$= \frac{n}{n-1} \left( \epsilon_n - \frac{1}{n} \right) \hat{H}$$

So  $\hat{H}$  has a nonvanishing gap (indep of  $L$ )

if  $\epsilon_n - \frac{1}{n} > 0$  for some  $n$ .

check numerically

VBS-1\*\*

S. of Knabe J. Stat. Phys. 52, 627-638 (1988)

Extend the method to prove the existence of a nonvanishing gap of the Majumdar-Ghosh model

$S=1/2$  periodic chain with  $L$  even

$$H_{MG} = \sum_{x=1}^L \left( \hat{S}_x \cdot \hat{S}_{x+1} + \frac{1}{2} \hat{S}_x \cdot \hat{S}_{x+2} \right)$$

~~omit~~ See Section 5 of AKLT 88 also

$\Psi_{\text{VBS}}$  state in the standard basis — hidden AF order

$$\bullet \text{---} \bullet = (\uparrow \text{---} \downarrow) - (\downarrow \text{---} \uparrow)$$

$\Psi_{\text{VBS}}$  is a sum of many basis states

$$\begin{array}{cccccccc} \text{---} \uparrow \downarrow \text{---} & \downarrow \downarrow \text{---} & \uparrow \downarrow \text{---} & \uparrow \uparrow \text{---} & \downarrow \uparrow \text{---} & \downarrow \uparrow \text{---} & \downarrow \downarrow \text{---} & \uparrow \uparrow \text{---} \\ 0 & - & 0 & + & 0 & 0 & - & + \dots \end{array}$$

+ and - alternate with arbitrary numbers of 0's in between them!

$$\Psi_{\text{VBS}} = \sum_{\Phi} (-1)^{z(\Phi)} 2^{n(\Phi)/2} \Psi^{\Phi}$$

$\Phi$  satisfies the constraint  
 $z(\Phi)$  the number of 0's on odd sites  
 $n(\Phi)$  the number of  $\pm$ 's.

• "quantum spin liquid" with hidden AF order

• one gets exactly the same expansion whatever "quantization axis" is taken.

standard AF order  $\rightarrow$  appears in a specific direction

hidden AF order  $\rightarrow$  appears in any directions!

(rem.)

$$\left( \begin{array}{l} \mathcal{S}(\uparrow\uparrow) = \Psi^+ \quad \mathcal{S}(\downarrow\downarrow) = \Psi^- \\ \mathcal{S}(\uparrow\downarrow) = \frac{1}{\sqrt{2}}(\uparrow\downarrow + \downarrow\uparrow) = \frac{1}{\sqrt{2}}\Psi^0 \end{array} \right)$$

the complicated coefficient / can be expressed using matrix products. <sup>with a big range constraint</sup>

Fannes, Nachtergaele, Werner 89, 92

Klümper, Schadschneider, Zittartz 91

$$\Phi_{VBS} = \sum_{\mathbb{D}} \text{Tr}[A_{\sigma_1} A_{\sigma_2} \dots A_{\sigma_n}] \Psi^{\mathbb{D}} \quad \text{---} \otimes$$

no constraints  $A_+ = \begin{pmatrix} 0 & 0 \\ -\sqrt{2} & 0 \end{pmatrix}, A_- = \begin{pmatrix} 0 & \sqrt{2} \\ 0 & 0 \end{pmatrix}, A_0 = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$

{ Finitely correlate states  
 Matrix product states (MPS)  
 very general but still very special!

VBS-2

Confirm  $\otimes$  (starting from the def. of VBS)

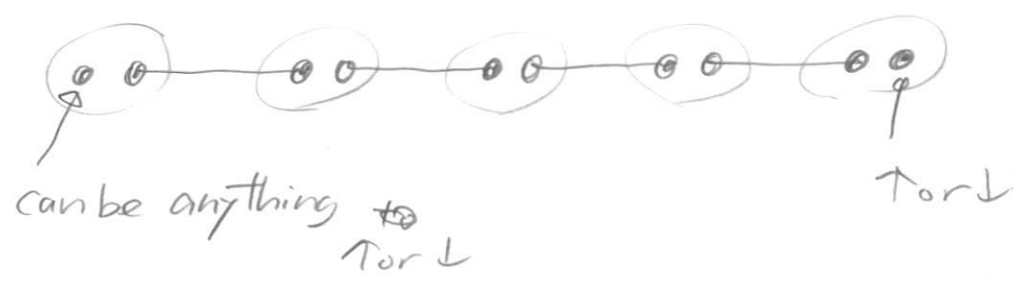
Find a similar expression for the  $S = \frac{3}{2}$  state



§ VBS states open chains — edge states

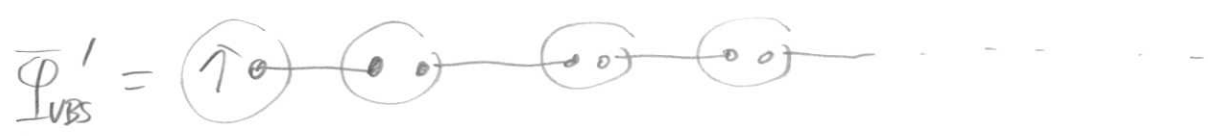
AKLT model on periodic chain, infinite chain  
 → the g.s. is unique.

on an open chain



There are four ground states

long  
 semi-infinite chain with extra ↑



The edge spin is not completely localized

$$\langle \Phi'_{VBS}, \hat{S}_x^{(1)} \Phi'_{VBS} \rangle = \langle \Phi'_{VBS}, \hat{S}_x^{(2)} \Phi'_{VBS} \rangle = 0$$

$$\langle \Phi'_{VBS}, \hat{S}_x^{(3)} \Phi'_{VBS} \rangle = -2(-3)^{-x}$$

$$\sum_{x=1}^{\infty} \langle \downarrow \rangle = \frac{1}{2}$$





$$\Lambda_L = \left\{ -\frac{L}{2} + 1, -\frac{L}{2} + 2, \dots, \frac{L}{2} \right\}$$



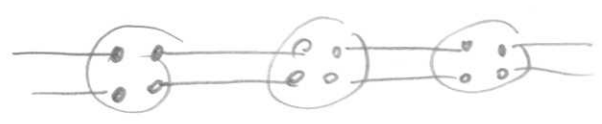
the four g.s. converges to a single inf. vol. gr. st. as  $L \rightarrow \infty$

recall that:	finite. $L$	$L \rightarrow \infty$
Heisenberg AF $d \geq 2$	unique g.s.	infinitely many g.s.
AKLT open chain	four g.s.	unique g.s.

### § VBS picture

Can we form VB<sup>\*</sup> states for other  $S$  ?

$S=2 \Rightarrow$   four  $S=\frac{1}{2}$ 's



$S=\frac{3}{2} \Rightarrow$   three  $S=\frac{1}{2}$ 's

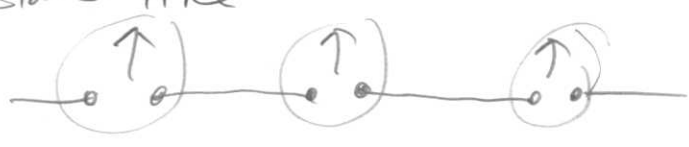


translation inv. is broken,



We can construct translation invariant VBS only for integer  $S$ .

BUT under magnetic field one may have a g.s. states like



here  $S_{\text{Tot}}^{(3)} = \frac{L}{2}$

for  $S=\frac{3}{2}$  ~~chain~~  
VBS like state

FOA filling factor is  $\nu = \frac{1}{2} + \frac{3}{2} = 2$   
integer

SKIP

# < Haldane phase >

§ Haldane conj. for <sup>the</sup> S=1 Heisenberg AF chain

$$\hat{H} = \sum_{x=1}^L \hat{S}_x \cdot \hat{S}_{x+1}$$

Numerical results

→ gaps also observed experimentally!

- $\exists$  a gap  $\approx 0.41$  above the unique g.s.
- correlation in g.s. decays exponentially

BUT STILL NO PROOF

AKLT is at the "center" of the "Haldane phase", and the Heisenberg AF happens to belong to that phase ? ? ?

§ The model with anisotropy

S=1 chain (pbc)

$$\hat{H}_{\text{aniso}} = \sum_{x=1}^L \left\{ \hat{S}_x \cdot \hat{S}_{x+1} + D (\hat{S}_x^{(3)})^2 \right\}$$

anisotropy  $D \geq 0$

note that

$$\hat{H}_0 = \sum_{x=1}^L D (\hat{S}_x^{(3)})^2 \text{ is trivial}$$

G.S.  $\bar{\Phi}_0 = \bigotimes_{x=1}^L \psi_x^0$

000000  
 $E_0 = 0$

1st excited

000+000 or 000-000

$E_{1st} = E_0 + D$  ← energy gap

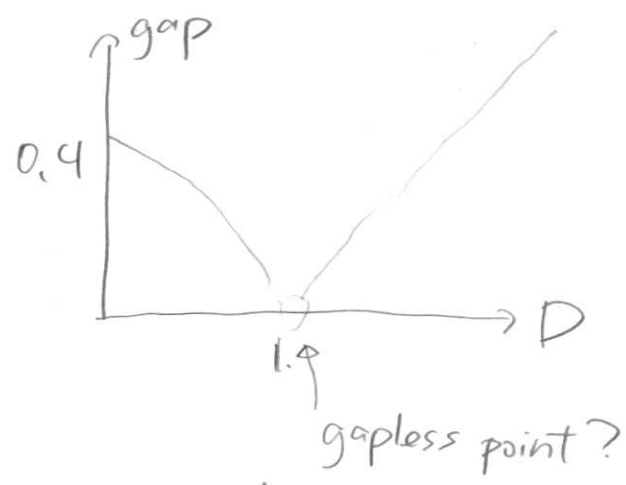
if  $D \gg 1$

- The g.s. is unique and is close to  $\Phi_0$
- $\exists$  a gap  $\approx D$
- The g.s. correlation decays exponentially

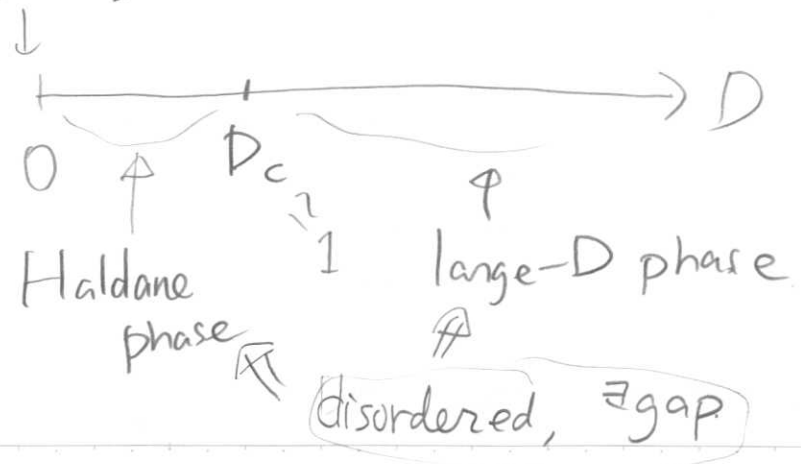
all rigorous and trivial  
(cluster expansion)

Is the Haldane gap smoothly connected to this trivial gap?

numerical results



Heisenberg AF



### § Peculiar features of the Haldane phase

#### Hidden AF order

The g.s. of  $\hat{H}_{\text{anis}}$   $\bar{\Psi}_{\text{GS}} = \sum_{\sigma} C_{\sigma} \tilde{\Psi}^{\sigma}$

$C_{\sigma} > 0$  for  $\forall \sigma$  (Marshall-Lieb-Mattis)

(different from the VBS state)

BUT in the Haldane phase, most states (with considerable weight) look like

+ 0 - + - 0 0 - 0 + 0 + 0 - + -  
└───┘  
defect

[the long-range hidden AF order still presents

+ - + - - + + - + - +  
↑ ↑  
e<sub>in</sub> even

denNijs-Rommelse string order parameter 1989

$$O_{\text{string}}^{(\alpha)} := -\lim_{|x-y| \rightarrow \infty} \lim_{L \rightarrow \infty} \langle \bar{\Psi}_{\text{GS}}, \hat{S}_x^{(\alpha)} \exp\left[ i\pi \sum_{z=x+1}^{y-1} \hat{S}_z^{(\alpha)} \right] \hat{S}_y^{(\alpha)} \bar{\Psi}_{\text{GS}} \rangle$$

$\alpha = 1, 2, 3$        $(-1)^{\sum_1 \hat{S}_z^{(\alpha)}}$

for the VBS state  $O_{string}^{(\alpha)} = \frac{4}{9} \quad \alpha=1,2,3$

heuristic arguments + numerical res. for Haldane

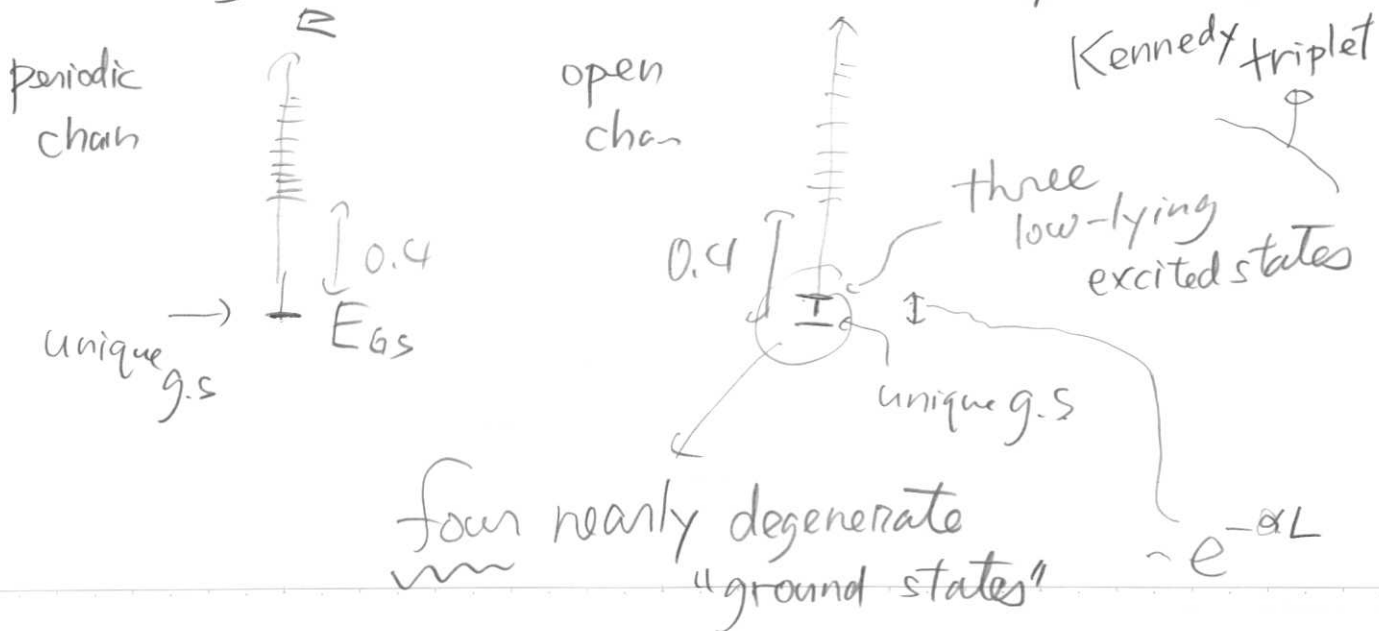
{ Haldane phase  $O_{string}^{(1)} = O_{string}^{(2)} > 0, O_{string}^{(3)} > 0$   
 { large-D phase  $O_{string}^{(1)} = O_{string}^{(2)} = O_{string}^{(3)} = 0$

The hidden AF order (measured by the string order par.) characterizes the Haldane phase.

### Near four-fold degeneracy and the edge states

- AKLT model on { a periodic chain  $\rightarrow$  unique g.s. + a gap  
                                   an open chain  $\rightarrow$  four g.s. + a gap

Heisenberg AF (numerical)



hidden AF order  $\Rightarrow$  near four-fold degeneracy

1) Hirsch-von den Linden Theorem

$$\hat{\Theta}_{string}^{(\alpha)} := \sum_{x=1}^L \hat{S}_x^{(\alpha)} \exp\left[i\pi \sum_{y=1}^{x-1} \hat{S}_y^{(\alpha)}\right]$$

$\leftarrow$  if  $\hat{\Theta}_{string}^{(\alpha)} \neq 0$

Then  $\langle \bar{\Phi}_{GS}, (\hat{\Theta}_{string}^{(\alpha)})^2 \bar{\Phi}_{GS} \rangle \geq \alpha \cdot L^2 \quad \alpha > 0$

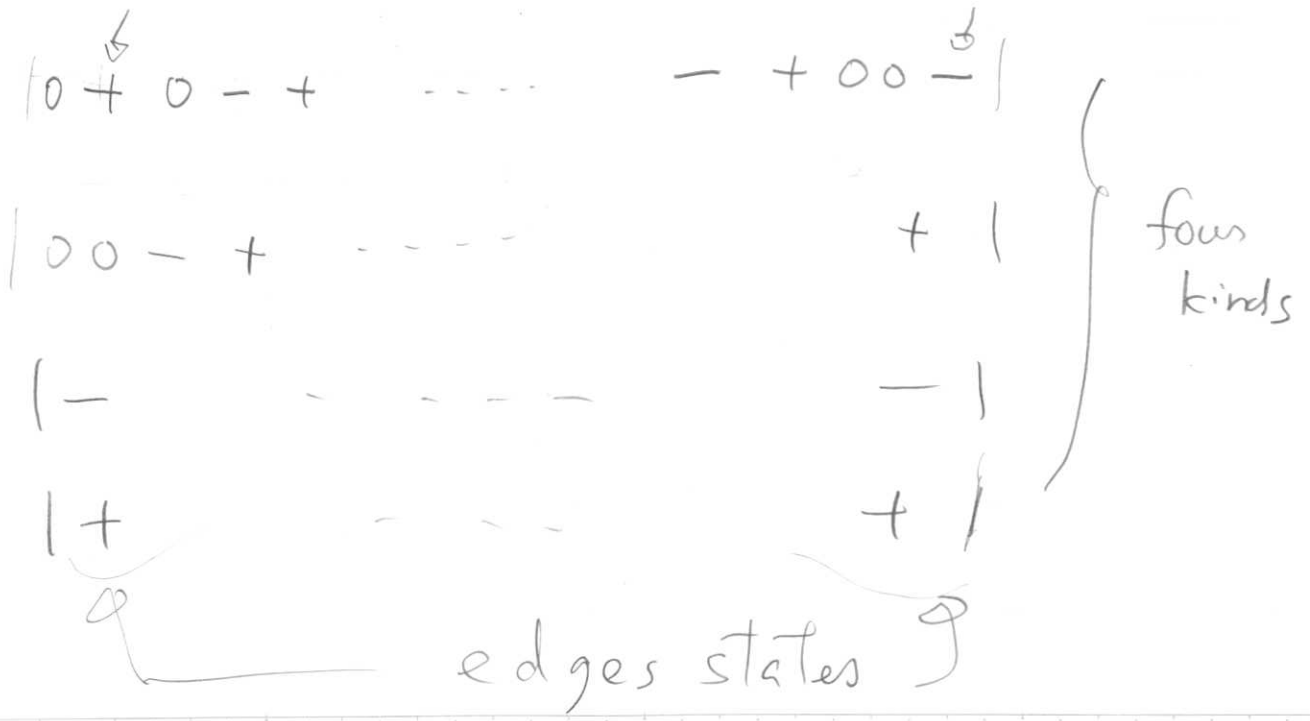
Thus  $\frac{\hat{\Theta}_{string}^{(\alpha)} \bar{\Phi}_{GS}}{\|\hat{\Theta}_{string}^{(\alpha)} \bar{\Phi}_{GS}\|}$  is a low-lying state

$\alpha = 1, 2, 3$

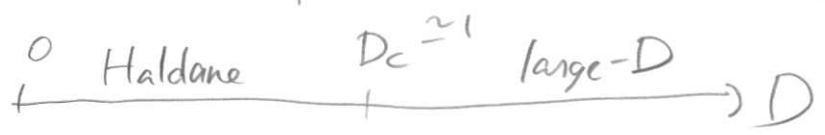
they are orthogonal

2) 0, +, - configuration

config. with complete hidden AF order



Thus. "Haldane phase" is a distinct phase



hidden AF order

no order

near four-fold degeneracy in open chain (edge states)

unique GS with a gap in open chain.

quite exotic!

observed experimentally!



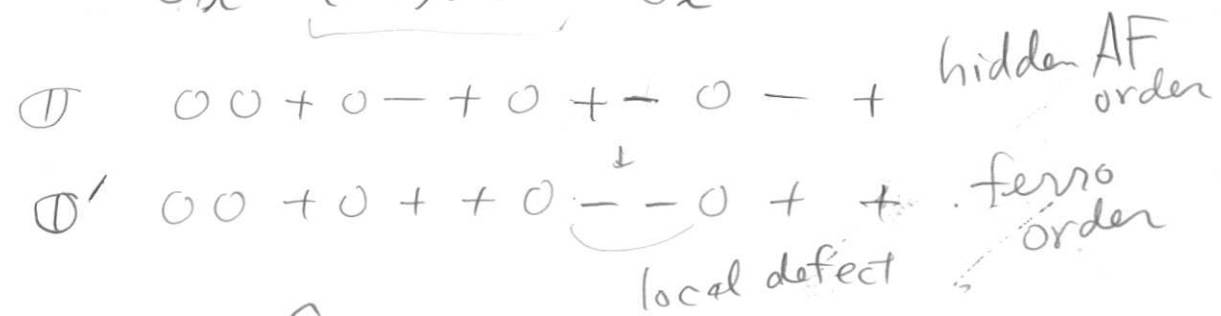
§ Non-local unitary transformation and hidden  $\mathbb{Z}_2 \times \mathbb{Z}_2$  symmetry breaking (Kennedy-Tasaki 92)  
open chain

$$\hat{H} = \sum_{x=1}^{L-1} \hat{S}_x \cdot \hat{S}_{x+1} + D \sum_{x=1}^L (\hat{S}_x^{(3)})^2$$

basis state  $\underline{\Psi}^{\mathbb{O}} = \bigotimes_{x=1}^L \psi_x^{\sigma_x}$  with  $\mathbb{O} = (\sigma_x)_{x=1, \dots, L}$   
 $\sigma_x = 0, \pm 1$ .

for  $\mathbb{O}$ , define  $\mathbb{O}' = (\sigma'_x)_{x=1, \dots, L}$  by

$$\sigma'_x = (-1)^{\sum_{y=1}^{x-1} \sigma_y} \sigma_x$$



Define unitary op.  $\hat{U}$  by

$$\hat{U} \underline{\Psi}^{\mathbb{O}} = (-1)^{N(\mathbb{O})} \underline{\Psi}^{\mathbb{O}'}$$

$N(\mathbb{O})$ : the number of odd  $x$  with  $\sigma_x = 0$ .

(Oshikawa's form)

$$\hat{U} = \prod_{x < y} \exp [i \pi \hat{S}_x^{(3)} \hat{S}_y^{(1)}]$$

$$\begin{pmatrix} \hat{H} \Phi_{GS} = E \Phi_{GS} \\ \hat{H}' \Phi'_{GS} = E \Phi'_{GS} \quad \Phi'_{GS} = \hat{U} \Phi_{GS} \end{pmatrix}$$

Then

$$\hat{H}' = \hat{U} \hat{H} \hat{U}^\dagger$$

$$= \sum_{x=1}^{L-1} \left\{ \underbrace{\hat{S}_x^{(1)} \hat{S}_{x+1}^{(1)}} + \hat{S}_x^{(2)} e^{i\pi(\hat{S}_x^{(3)} + \hat{S}_{x+1}^{(3)})} \hat{S}_{x+1}^{(2)} - \underbrace{\hat{S}_x^{(3)} \hat{S}_{x+1}^{(3)}} \right\} + D \sum_{x=1}^L (\hat{S}_x^{(3)})^2$$

- is
  - mainly ferromagnetic (especially in the 1st and the 3rd directions)
  - has a discrete symmetry invariant under the  $\pi$ -rotation around the 1, 2, or 3 axis.
    - $\mathbb{Z}_2 \times \mathbb{Z}_2$  symmetry
    - ↑ not independent.

long-range  
The order parameters of the  $\mathbb{Z}_2 \times \mathbb{Z}_2$  symmetry breaking

$$O_{ferro}^{(\alpha)} = \lim_{|x-y| \rightarrow \infty} \langle \Phi'_{GS}, \hat{S}_x^{(\alpha)} \hat{S}_y^{(\alpha)} \Phi'_{GS} \rangle \quad (\alpha=1, 3)$$

$$\Phi'_{GS} = \hat{U} \Phi_{GS}$$

then it holds that

$$\boxed{O_{ferro}^{(\alpha)} = O_{string}^{(\alpha)} \quad (\alpha=1, 3)}$$

$\nearrow$  —————  $\downarrow$   
 $\Phi'_{GS}$  —————  $\Phi_{GS}$

The picture of hidden  $\mathbb{Z}_2 \times \mathbb{Z}_2$  symmetry breaking

$\hat{H}'$ : ferromagnetic Hamiltonian with discrete  
 $\mathbb{Z}_2 \times \mathbb{Z}_2$  symmetry

- large-D phase  $D > D_c$   
no symmetry breaking  
unique g.s. + a gap

- Haldane phase  $0 \leq D < D_c$   
 $\mathbb{Z}_2 \times \mathbb{Z}_2$  symmetry is fully broken
  - SSB of a discrete symmetry  $\rightarrow$  gap
  - ferromagnetic order  $\rightarrow$  hidden AF order

$\hat{H}$  and  $\hat{H}'$  have exactly the same spectra  $\rightarrow$  four g.s. in the infinite chain  
 $\rightarrow$  four low-lying energy excitations in a finite chain

all the exotic properties of the Haldane phase can be understood as a consequence of the  $\mathbb{Z}_2 \times \mathbb{Z}_2$  symmetry breaking.

$\rightarrow$  starting point of other rigorous and non-rigorous theories

# <Some related issues>

## § Stability of the Haldane phase

Does the  $\mathbb{Z}_2 \times \mathbb{Z}_2$  picture explain everything?

- edge states of the  $S=2$  VBS



$3 \times 3 = 9$  fold degeneracy.

( $\mathbb{Z}_2 \times \mathbb{Z}_2$  suggests four) ?

- string order for the general VBS (Oshikawa 92)

$$O_{\text{string}}^{(\alpha)} \begin{cases} > 0 & \text{for } S=1, 3, 5, \dots \\ = 0 & \text{for } S=2, 4, 6, \dots \end{cases} ?$$

Is it possible to connect the Haldane and the large-D phases smoothly?

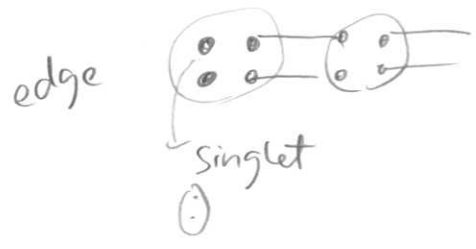
Is there  $\hat{H}_\lambda$  such that

- $\hat{H}_\lambda$  : Ham on the open chain, depends smoothly on  $\lambda \in [0, 1]$  has a suitable symmetry (e.g. inv. under  $\hat{S}_x \rightarrow -\hat{S}_x$  for all  $x$ )

•  $\hat{H}_0 = \sum_{x=1}^L D(\hat{S}_x^{(3)})^2, \hat{H}_1 = \hat{H}_{AKLT}$

- $\hat{H}_\lambda$  has a unique g.s. + a gap for  $\forall \lambda \in [0, 1]$  ?

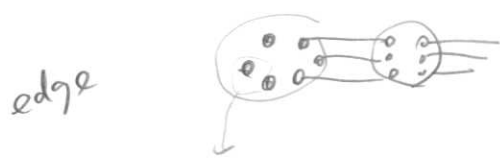
Yes for  $S=2, 4, 6, \dots$



Haldane phase is a "symmetry protected topological phase"

but any phase is protected by a symmetry

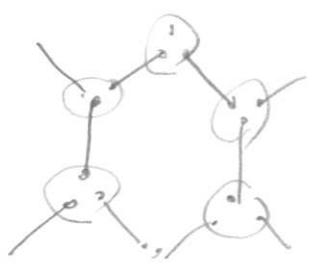
No for  $S=1, 3, 5, \dots$



at least  $S = \frac{1}{2}$  remains (→ four-fold near degeneracy)

§ VBS in two dimensions

$S = \frac{3}{2}$  model on the hexagonal lattice



- g.s. is unique
- g.s. correlation decay exponentially

no proof of gap

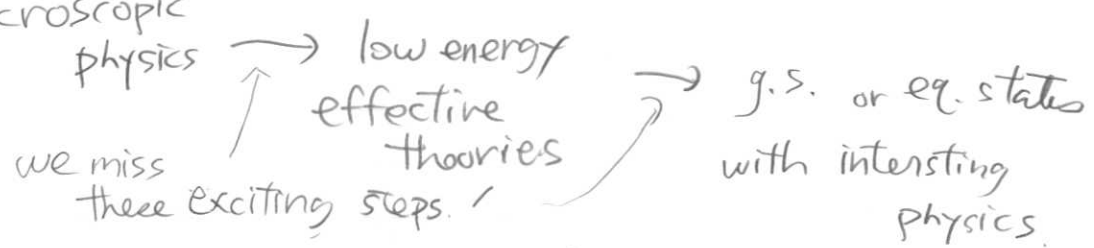
hidden order ?? ↔ no ideas  
SSB ???

§ Hamiltonian vs. states

(ground) states are more important than the Ham.  
VBS, Laughlin, BCS

→ MPS New TREND?!  
tensor network, ...  
start from states

BUT philosophically  
microscopic physics



practically we miss many important problems (Haldane gap in  $S=1$  Heisenberg AF)