空間力学系から理解する 非線形トポロジカル絶縁体

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Refs. <u>K. Sone</u>, M. Ezawa, Y. Ashida, N. Yoshioka, and T. Sagawa, Nat. Phys. 20, 1164 (2024). <u>K. Sone</u>, M. Ezawa, Z. Gong, T. Sawada, N. Yoshioka, and T. Sagawa, Nat. Commun. 16, 422 (2025). <u>K. Sone</u> and Y. Hatsugai, arXiv:2501.10087.

2025/3/25 統計物理学懇談会

- Introduction & Overview
- Definition of nonlinear bulk-edge correspondence
- Numerical demonstration
- Analysis from nonlinear transfer matrices
- Bifurcation and nonlinear topological phenomena
- Further extension

Topology in Physics



Wavenumber space (band structure)



K. v. Klitzing et al., Phys, Rev. Lett. 45, 494 (1980). D. J. Thouless *et al.*, Phys. Rev. Lett. 49, 405 (1982).



L. Fu, C. L. Kane, and E. J. Mele, Phys. Rev. Lett. 98, 106803 (2007). Y. Ando, J. Phys. Soc. of Jpn. 82, 102001 (2013).

My studies

Typical Example: Quantum Hall Effect

Quantum Hall effect (under external magnetic field)



K. v. Klitzing et al., Phys, Rev. Lett. 45, 494 (1980) D. J. Thouless *et al.*, Phys. Rev. Lett. 49, 405 (1982)

Anomalous quantum Hall effect (utilizing local magnetic flux)



F. D. M. Haldane Phys, Rev. Lett. 61, 2015 (1988) K. Ohgushi *et al.* Phys. Rev. B 62, R6065 (2000)

• Quntized Hall current

⇔ Edge current
 ⇔ Bulk topological invariant

$$\sigma_{xy} = \frac{e^2}{h}\nu$$

v: sum of Chern numbers of the occupied bands

Band Topology and Bulk-Edge Correspondence



Topology in Classical Systems

Condensed matter	Classical system
Wave functions for eigenstates	Normal modes of physical quantities (e.g. location)
Hamiltonian	Coefficient matrix in linear dynamics
$H\Psi = E\Psi$	$\mathcal{H}\vec{X} = \omega\vec{X}$

Mechanical lattice





L. M. Nash et al., PNAS 112, 14495 (2015).



Z. Yang et al. PRL 114, 114301 (2015).

Stochastic process (Biological kinetic network)



A, Murugan and S. Vaikuntanathan Nat. Commun. 8, 13881 (2017).

Platforms to Study Nonlinear Topology

Photonics



D. Leykam and Y. D. Chong PRL 117, 143901 (2016).

Active matter



Ultracold atoms



. . .

K. Sone and Y. Ashida PRL 123, 205502 (2019).

M. H. Anderson et al. Science 269, 198 (1995).

Biological oscillators



J. Buck, Quart. Rev. Biol. 63, 265-289 (1988).

Chemical reactions



T. Amemiya et al. Chaos. 8, 872 (1998).

Electrical circuits



T. Kotwal *et al*. PNAS 118, e2106411118 (2019).

Possible Nonlinear Topological Phenomena

Unique phenomena

- Self-bulk-localized modes Y. Lumer et al. PRL 111, 243905 (2013)
- Edge soliton

D. Leykam and Y. D. Chong PRL 117, 143901 (2016) Z. Zhang et al. Nat. Commun. 11, 1902 (2020)

- Topological synchronization <u>K. Sone</u>, Y. Ashida, and T. Sagawa PRReserch 4, 023211 (2022)
 F. Di, W. Zhang, and X. Zhang Commun. Phys. 8, 78 (2025)
- Amplitude dependence

 Nonlinearity-induced transition
 Y. Hadad et al. PRB 93, 155112 (2016)
 D. Zhou et al. Nat. Commun. 13, 3379 (2022)



D. Leykam and Y. D. Chong PRL 117, 143901 (2016)



Main Problems

Q1. Bulk-edge correspondence (topological invariant, edge mode)

Linear

$$C_n = \frac{1}{2\pi} \int_{BZ} \nabla_{\mathbf{k}} \times \mathbf{A}_n(\mathbf{k}) \cdot d\mathbf{S} \longrightarrow$$
$$\mathbf{A}_n(\mathbf{k}) = i \langle \psi_n(\mathbf{k}) | \nabla_{\mathbf{k}} | \psi_n(\mathbf{k}) \rangle$$

Q2. (Simple) analytical techniques

Q3. Origin of nonlinear phenomena (cf. nonlinearity-induced transition)



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Overview

Q1. Bulk-edge correspondence (topological invariant, edge mode)

Linear

$$C_n = \frac{1}{2\pi} \int_{BZ} \nabla_{\mathbf{k}} \times \mathbf{A}_n(\mathbf{k}) \cdot d\mathbf{S}$$
$$\mathbf{A}_n(\mathbf{k}) = i \langle \psi_n(\mathbf{k}) | \nabla_{\mathbf{k}} | \psi_n(\mathbf{k}) \rangle$$

Q2. (Simple) analytical techniques

A2. Nonlinear transfer matrix (spatial dynamics)

Q3. Origin of nonlinear phenomena (cf. nonlinearity-induced transition)

A3. Bifurcations and chaos

A1. Defined by nonlinear eigenvalue problems

$$f_{j}(\mathbf{k}, w; \psi) = E(\mathbf{k}, w)\psi_{j}(\mathbf{k}, w)$$

$$C_{NL}(w) = \frac{1}{2\pi i w} \int \nabla \times \langle \psi(\mathbf{k}, w) | \nabla | \psi(\mathbf{k}, w) \rangle d^{2}\mathbf{k}$$

$$\Psi_{A2}$$

$$\Psi_{A2}$$

$$\Psi_{A2}$$

$$\Psi_{A1}$$

$$\int_{0.5}^{2.0} \sqrt{w} \Psi_{A1}$$

$$\int_{0.5}^{0.0} \sqrt{w} = 1$$

• Dynamical (temporal) stability or instability

→ Irrelevant to the bulk-edge correspondence (cf. Non-Hermitian edge modes can have dynamical instability.)

Nonlinearity of eigenvalues

(Different physical setup)

	Isobe-Yoshida-Hatsugai	Our work
Nonlinearity	Eigenvalue	Eigenvector
Origin of nonlinearity	Higher-order differential equations or Frequency-dependent response functions	Nonlinear dynamics or Mean-field of many-body interactions



T. Isobe, T. Yoshida, Y. Hatsugai PRL 132, 126601 (2024).

Introduction & Overview

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General nonlinear dynamics $i \frac{\partial \Psi_j(\boldsymbol{r})}{\partial t} = f_j(\Psi; \boldsymbol{r})$

cf. Possible extension D. Zhou et al., Nat. Commun. 13, 3379 (2022) D. Zhou New J. Phys. 26, 073009 (2024)

Assumptions

- U(1) symmetry, $f_j(e^{i\theta}\Psi; \mathbf{r}) = e^{i\theta}f_j(\Psi; \mathbf{r})$
- Conservative dynamics, $\sum_{i,r} |\Psi(r)|^2 = \text{constant} (\simeq \text{Hermitian})$

c.f. Gross-Pitaevskii equation (ultracold atoms)

$$i\frac{\partial\Psi(\boldsymbol{r})}{\partial t} = f(\Psi;\boldsymbol{r}) = -\frac{\nabla^2\Psi(\boldsymbol{r})}{2m} + V\Psi(\boldsymbol{r}) + \frac{4\pi a}{m}|\Psi(\boldsymbol{r})|^2\Psi(\boldsymbol{r})$$

c.f. Kerr-type nonlinearity in lattice systems (such as photonics)

$$i\frac{\partial\Psi_j(\boldsymbol{r})}{\partial t} = f_j(\Psi;\boldsymbol{r}) = \sum_{k,r'} H_{jk}(\boldsymbol{r},\boldsymbol{r}')\Psi_k(\boldsymbol{r}') + \kappa_j |\Psi_j(\boldsymbol{r})|^2 \Psi_j(\boldsymbol{r})$$

Nonlinear Eigenvalue Problem



Real-space description of the nonlinear eigenequation $f_j(\Psi; \mathbf{r}) = E\Psi_j(\mathbf{r})$

> Assuming the Bloch ansatz $\Psi(w; r + a_j) = e^{ik_j}\Psi(w; r)$ (a_j : lattice vector) Focus on special solutions with $w = \sum_i |\psi_i(k)|^2$ being independent of k

Wavenumber-space description of the nonlinear eigenequation $f_j(\mathbf{k}, w; \psi(\mathbf{k}, w)) = E(\mathbf{k}, w)\psi_j(\mathbf{k}, w)$

Nonlinear topological invariant (nonlinear Chern number) $C_{\rm NL}(w) = \frac{1}{2\pi i w} \int \nabla \times \langle \psi(\mathbf{k}, w) | \nabla | \psi(\mathbf{k}, w) \rangle d^2 \mathbf{k}$

- Quantized as in linear cases
- w is an additional parameter.
- *w* dependence ⇒ nonlinearity-induced topological phase transition

Correspondence to Localization vs. Anti-Localization



Mathematically shown in weakly nonlinear cases or local sense

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Nonlinear QWZ Model: Model of Nonlinear Chern Insulator

Kerr nonlinearityTopological Hamiltonian (QI-Wu-Zhang model)
$$i \frac{d}{dt} \Psi_j(x) = -\kappa (-1)^j \sum_k |\Psi_k(x)|^2 \Psi_j(x) + \sum_{k,x} H_{jk}(x,x') \Psi_k(x') = \sum_{k,x} \widetilde{H}_{jk}(x,x';\Psi) \Psi_k(x')$$

$$\widetilde{H}_{jk}(\mathbf{k}; \Psi) = \begin{pmatrix} u + \kappa (\|\Psi\|_2)^2 + \cos k_x + \cos k_y & \sin k_x - i \sin k_y \\ \sin k_x + i \sin k_y & -u - \kappa (\|\Psi\|_2)^2 - \cos k_x - \cos k_y \end{pmatrix}$$

Ref. QI-Wu-Zhang model

X. L. Qi, Y. S. Wu, & S. C. Zhang PRB 74, 085308 (2006).



(analytically obtained)





Bulk-Boundary Correspondence in Finite Lattice Systems



Red: localized, blue: delocalized

Observation by Quench Dynamics



Nonlinear Dirac Hamiltonian (effective low-energy model)

$$H_{\text{Dirac}}(\Psi) = \begin{pmatrix} m + \kappa (\|\Psi\|_2)^2 & -i\partial_x + \partial_y \\ -i\partial_x - \partial_y & -m - \kappa (\|\Psi\|_2)^2 \end{pmatrix}$$
Ansatz of edge modes $\begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = e^{ik_y y} \phi(x) \begin{pmatrix} 1/\sqrt{2} \\ -i/\sqrt{2} \end{pmatrix}$, $E = k$

(Continuum) dynamical system describing gapless modes $\partial_x \phi(x) = m\phi(x) + \kappa |\phi(x)|^2 \phi(x)$

$$\Rightarrow \phi(x) = e^{i\theta} \sqrt{\frac{1}{-\frac{\kappa}{m} + De^{-2mx}}}$$
Positive

Analytically confirm the bulk-edge correspondence

Phase Diagram of Nonlinear Dirac Hamiltonian



 $C_{\rm NL} = 1/2 \Leftrightarrow$ Left-localized edge modes Bulk-edge correspondence Anti-localized mode

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Nonlinear Transfer Matrix: 1D Sublattice Symmetric Case



Nonlinear Transfer Matrix of Zero Modes



Sublattice symmetry $\rightarrow E = 0$ for edge modes

Transfer matrix $\Psi_A(x+1) = ED(\Psi)^{-1}\Psi_B(x) - D(\Psi)^{-1}A(\Psi)\Psi_A(x)$ (spatial dynamics) $\Psi_B(x+1) = EA^{\dagger}(\Psi)^{-1}\Psi_A(x+1) - A^{\dagger}(\Psi)^{-1}D^{\dagger}(\Psi)\Psi_B(x)$ Boundary condition $\Psi_B(0) = 0$ E = 0 \bigvee Transfer matrix $\Psi_A(x+1) = -D(\Psi)^{-1}A(\Psi)\Psi_A(x)$ of zero mode $\Psi_B(x) = 0$

Example: Nonlinear SSH Model (Nonlinear Hopping)

Note: Nonlinear SSH model \Leftrightarrow Nonlinear QWZ model at $k_y = 0$

$$H_{SSH}(k;\psi) = UH_{QWZ}(k,0;\psi)U^{-1}, U = (\sigma_y + \sigma_z)/\sqrt{2}$$

$$E\Psi_A(x) = A^{\dagger}(\Psi)\Psi_B(x) + D^{\dagger}(\Psi)\Psi_B(x-1)$$
$$E\Psi_B(x) = A(\Psi)\Psi_A(x) + D(\Psi)\Psi_A(x+1)$$



Wavenumber-space description

$$E\begin{pmatrix}\psi_{A}\\\psi_{B}\end{pmatrix} = \begin{pmatrix}0 & A^{\dagger}(\psi) + e^{-ik}D^{\dagger}(\psi)\\A(\psi) + e^{ik}D(\psi) & 0\end{pmatrix}\begin{pmatrix}\psi_{A}\\\psi_{B}\end{pmatrix}$$

Assume $A(\psi), D(\psi)$ depending only on $w = |\psi_{A}|^{2} + |\psi_{B}|^{2}$

Nonlinear winding number

$$\nu = \frac{1}{2\pi i} \int dk \,\partial_k \log \left[\det \left(A(w) + e^{ik} D(w) \right) \right] \in \mathbb{Z}$$

Proof of Bulk-Edge Correspondence in Linear Cases

$$E\Psi_A(x) = A^{\dagger}\Psi_B(x) + D^{\dagger}\Psi_B(x-1)$$
$$E\Psi_B(x) = A\Psi_A(x) + D\Psi_A(x+1)$$

Winding number

$$\nu = \frac{1}{2\pi i} \int dk \,\partial_k \log \left[\det \left(A + e^{ik} D \right) \right]$$



Fact 1 (argument principle)
Winding number
 $\Leftrightarrow \#$ of solutions β of det $(A + \beta D) = 0$
satisfying $|\beta| < 1$ Winding number
 $\Leftrightarrow \#$ of Eigenvalues of $-D^{-1}A$
satisfying $|\beta| < 1$ Fact 2
Solutions β of det $(A + \beta D) = 0$
 \Leftrightarrow Eigenvalues of $-D^{-1}A$ Cf. Discussion on Green functions' poles
V. Gurarie PRB 83, 085426 (2011)

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Proof of Bulk-Edge Correspondence in Linear Cases (2)



Corresponding to linearly independent edge modes

$$E\Psi_A(x) = A^{\dagger}(\Psi)\Psi_B(x) + D^{\dagger}(\Psi)\Psi_B(x-1)$$
$$E\Psi_B(x) = A(\Psi)\Psi_A(x) + D(\Psi)\Psi_A(x+1)$$

Nonlinear winding number

 $A(\Psi)$

 $D(\Psi)$

Winding number

 \Leftrightarrow # of Eigenvalues of $-D^{-1}(w)A(w)$ satisfying $|\beta| < 1$



Example: Nonlinear SSH Model (Nonlinear Hopping)

$$\begin{array}{c}
a'(\Psi) \\
=a+b|\Psi_{A}|^{2}+c|\Psi_{B}|^{2} \\
\psi_{A}|^{2}+c|\Psi_{B}|^{2} \\
E \begin{pmatrix} \psi_{A} \\ \psi_{B} \end{pmatrix} = \begin{pmatrix} 0 \\ a+b|\psi_{A}|^{2}+c|\psi_{B}|^{2}+de^{ik} \\
a+b|\psi_{A}|^{2}+c|\psi_{B}|^{2}+de^{ik} \\
0 \end{pmatrix} \begin{pmatrix} \psi_{A} \\ \psi_{B} \end{pmatrix}$$

Winding number

$$\nu = \frac{1}{2\pi i} \int dk \,\partial_k \log(a + bw + de^{ik}) \longrightarrow \nu = \begin{cases} 0 & (|a + bw_{edge}| > |d|) \\ 1 & (|a + bw_{edge}| < |d|) \end{cases}$$

Nonlinear transfer matrix

$$\Psi_A(x+1) = -\frac{a+b|\Psi_A(x)|^2}{d}\Psi_A(x), \qquad \Psi_B(x) = 0$$

 $|\Psi_A(1)| > |\Psi_A(2)| \Leftrightarrow \left|a + bw_{\text{edge}}\right| < |d| \iff \nu = 1$

Corresponding to amplification or attenuation at the edge

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Nonlinear Effect: Long-Range Behavior



Mathematical argument \rightarrow localization or anti-localization in a short range $|\Psi_A(1)| \leq |\Psi_A(2)|$

- Q. Long-range behavior of zero modes $|\Psi_A(1)| \leq |\Psi_A(\infty)|$
- → Fixed points, periodic orbits, or strange attractor induce
- 1. nonlinearity-induced transitions,
- 2. breakdown of the bulk-edge correspondence.

Chaos Transition in Nonlinear SSH Model



Bifurcation Diagram

$$a'(\Psi) = a+b|\Psi_A|^2+c|\Psi_B|^2 \qquad d \qquad \cdots$$

Spatial dynamics (E = 0, semi-infinite system (x > 0))



Bifurcation Diagram Corresponds to Nonlinear Phenomena



Unified picture of the nonlinear bulk-edge correspondence and its breakdown

First Step: Bifurcation and Nonlinearity-Induced Transition



Second Step: Chaos Transition



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Nonlinear SSH model with on-site nonlinearity



$$a = -0.5, b = 1, \kappa = 1$$

Wavenumber-space representation

$$E\begin{pmatrix}\psi_A\\\psi_B\end{pmatrix} = \begin{pmatrix}\kappa|\psi_A|^2 & a+be^{-ik}\\a+be^{ik} & \kappa|\psi_B|^2\end{pmatrix}\begin{pmatrix}\psi_A\\\psi_B\end{pmatrix}$$
 Time-reversal and space-inversion syms.
 \rightarrow Nonlinear Berry phase is quantized.
cf. Zhou et al., Nat. Commun. 13, 3379 (2022)

Dynamical-system representation (generalization of a transfer matrix)

$$\begin{split} \Psi_A(x+1) &= \frac{-a\Psi_A(x) - \kappa |\Psi_B(x)|^2 \Psi_B(x) + E\Psi_B(x)}{b} \\ \Psi_B(x+1) &= \frac{-b\Psi_B(x) - \kappa |\Psi_A(x+1)|^2 \Psi_A(x+1) + E\Psi_A(x+1)}{a} \end{split}$$

Remaining *E* dependence (broken sublattice symmetry)

Edge Modes: Transition to Non-vanishing Topological Modes



Difference in Spatial Dynamics from Sublattice-Symmetric Cases



Bifurcation in spatial dynamics by Changing E



Emergence of stable fixed points (bifurcation)

 \rightarrow transition to non-vanishing modes

Summary

Nonlinear topology

 $\rightarrow \textbf{Bulk-edge correspondence in nonlinear eigenvalue problem}$

Mathematically shown:

Topological invariant

↔ Short-range localization or anti-localization

Transfer matrix analysis:

Nonlinear effects in long-range behavior

Bifurcation→New fixed points, chaos attractors

- Nonlinearity-induced transition
- Breakdown of the bulk-edge correspondence

Prospect: experiments, symmetry, non-Hermitian+nonlinear

Refs. <u>K. Sone</u>, M. Ezawa, Y. Ashida, N. Yoshioka, and T. Sagawa, Nat. Phys. 20, 1164 (2024). <u>K. Sone</u>, M. Ezawa, Z. Gong, T. Sawada, N. Yoshioka, and T. Sagawa, Nat. Commun. 16, 422 (2025). <u>K. Sone</u> and Y. Hatsugai, arXiv:2501.10087.



